



PASUSH 2/15/06 4239-61375-01 484413 E-043-1999/0-US-07

PATENT  
Attorney Reference Number 4239-61375-01

1644  
*[Handwritten signature]*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of:** Mukherjee et al.

**Application No.** 10/019,833

**Filed:** November 20, 2002

**Confirmation No.** 8664

**For:** UTEROGLOBIN IN THE TREATMENT  
OF IGA MEDIATED AUTOIMMUNE  
DISORDERS

**Examiner:** David A. Saunders, Ph.D.

**Art Unit:** 1644

**Attorney Reference No.** 4239-61375-01

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**CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: MAIL STOP MISSING PARTS, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

Attorney or Agent  
for Applicant(s) *[Signature]*

Date Mailed February 15, 2006

**TRANSMITTAL LETTER**

Enclosed for filing in the application referenced above are the following:

- ☒ Copy of Notification of Defective Notice
- ☒ Amendment to Insert Sequence Listing
- ☒ Computer Readable Copy
- ☒ Paper Copy (identical to computer copy), ten (10) pages
- ☒ Statement in compliance with 37 C.F.R. § 1.821 verifying identity of computer-readable and paper copies
- ☒ The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Deposit Account No. 02-4550. A copy of this sheet is enclosed.
- ☒ Please return the enclosed postcard to confirm that the items listed above have been received.

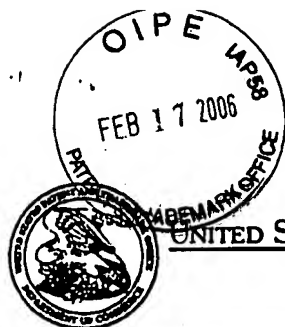
Respectfully submitted,

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/019,833	Anil B. Mukherjee	4239-61375

INTERNATIONAL APPLICATION NO.
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PCT/US00/09979

I.A. FILING DATE	PRIORITY DATE
07/13/2000	04/21/1999

24197  
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COPY

CONFIRMATION NO. 8664

371 FORMALITIES LETTER



\*OC000000017851483\*

Date Mailed: 01/17/2006

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 10/18/2001
- Copy of the International Search Report filed on 10/18/2001
- Preliminary Amendments filed on 10/18/2001
- Information Disclosure Statements filed on 10/18/2001
- Oath or Declaration filed on 11/20/2002
- Request for Immediate Examination filed on 10/18/2001
- U.S. Basic National Fees filed on 10/18/2001
- Power of Attorney filed on 11/20/2002

DOCKETED FOR: 2/17/06

2/16/06

COMPUTER

BOOK

SCAN

CC:

Applicant's response filed 01/14/2006 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 09/30/2002 have not been completed.

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19,

2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DONNA S GREENE

Telephone: (703) 308-9140 EXT 222

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/019,833	PCT/US00/09979	4239-61375